



U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

**VERIFIED STATEMENT CLAIMING
SMALL ENTITY STATUS
37 CFR 1.9(c-f) and 1.27(b-d)**

Docket Number:
10020/11901

Application Number
09/136,342

Filing Date
August 19, 1998

Examiner

Art Unit
2875

Invention Title
**ORGANIC PHOTOSENSITIVE
OPTOELECTRONIC DEVICES WITH
TRANSPARENT ELECTRODES**

Inventor(s)
FORREST et al.

Address to:

Assistant Commissioner for Patents
Washington D.C. 20231

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on

Date: *Oct. 16, 1998*

Reg. No. 33,842

Signature: *Ronald J. Campbell*
Ronald J. Campbell

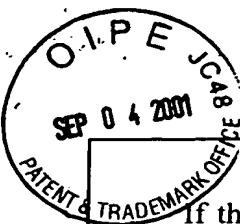
With respect to the invention described in application, filed August 19, 1998, I declare that I am an official empowered to act on behalf of the nonprofit organization identified below:

ORGANIZATION: THE TRUSTEES OF PRINCETON UNIVERSITY
ADDRESS: P.O. Box 36, Princeton, New Jersey 08544
ORGANIZATION TYPE: A university or other institution of higher education located in any country

and that the nonprofit organization identified above qualifies as a nonprofit organization as defined in 37 CFR 1.9(e) for purposes of paying reduced fees under 35 U.S.C. 41(a) and (b).

I hereby declare that the nonprofit organization identified above qualifies as a nonprofit organization as defined in 37 CFR 1.9(e) for purposes of paying reduced fees to the Patent and Trademark Office regarding the invention entitled **ORGANIC PHOTOSENSITIVE OPTOELECTRONIC DEVICES WITH TRANSPARENT ELECTRODES**, by Forrest et al., described in application filed August 19, 1998.

I hereby declare that rights under contract or law have been conveyed to and remain with the nonprofit organization regarding the above-identified invention.



If the rights held by the nonprofit organization are not exclusive, each individual, concern or organization having rights in the invention is listed below and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person made the invention or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d), or a nonprofit organization under 37 CFR 1.9(e).

FULL NAME: GLOBAL PHOTONIC ENERGY CORPORATION
ADDRESS: 3 Bala Plaza East, Suite 104, Bala Cynwyd, Pennsylvania
19004
A small business concern.

Global Photonic Energy Corporation has submitted a separate verified declaration claiming small entity status under 37 CFR 1.9(c-f) and 1.27(b-d).

FULL NAME: UNITED STATES OF AMERICA, as represented by DARPA
ADDRESS: Washington, D.C.

While Federal Government agencies do not qualify as nonprofit organizations for paying reduced fees, the license to the United States of America, as represented by DARPA, resulted from a funding agreement with DARPA pursuant to 35 U.S.C. 202(c)(4) and so, pursuant to MPEP 509.02, this does not preclude the proper claiming of small entity status.

1. ACKNOWLEDGMENT OF DUTY TO NOTIFY PTO OF STATUS CHANGE

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b)).

2. DECLARATION

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further than these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment or both, under 18 U.S.C. 1001, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

Signer's Name Allen J. Sinisgalli	Signature <i>Allen J. Sinisgalli</i>	Date 10/5/98
Signer's Title: Associate Provost of Research and Project Administration		
Signer's Address: 5 New South Building, Princeton University, Princeton, NJ 08544-0036		

© Kenyon & Kenyon 1997



U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

**VERIFIED STATEMENT CLAIMING
SMALL ENTITY STATUS
37 CFR 1.9(c-f) and 1.27(b-d)**

Docket Number:
10020/11901

Application Number 09/136,342	Filing Date August 19, 1998	Examiner	Art Unit 2875
----------------------------------	--------------------------------	----------	------------------

Invention Title ORGANIC PHOTOSENSITIVE OPTOELECTRONIC DEVICES WITH TRANSPARENT ELECTRODES	Inventor(s) FORREST et al.
---	--------------------------------------

Address to:

Assistant Commissioner for Patents
Washington D.C. 20231

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on

Date: *Oct. 16, 1998* Reg. No. 33,842

Signature: *Ronald J. Campbell*
Ronald J. Campbell

With respect to the invention described in application filed August 19, 1998, I declare that I am an official empowered to act on behalf of the small business concern identified below:

ORGANIZATION: GLOBAL PHOTONIC ENERGY CORPORATION
ADDRESS: 3 Bala Plaza East, Suite 104, Bala Cynwyd, Pennsylvania
19004
ORGANIZATION TYPE: A small business concern.

and that the small business concern identified above qualifies as a small business concern as defined in 37 CFR 1.9(d) for purposes of paying reduced fees under 35 U.S.C. 41(a) and (b).

I hereby declare that the above-identified small business concern qualifies as a small business concern as defined in 13 CFR 121.12, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees to the United States Patent and Trademark Office, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.



I hereby declare that the rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention entitled **ORGANIC PHOTOSENSITIVE OPTOELECTRONIC DEVICES WITH TRANSPARENT ELECTRODES** by Forrest et al., described in application, filed August 19, 1998.

If the rights held by the above-identified small business concern are not exclusive, each individual concern or organization having rights in the invention is listed below and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 CFR 1.9© if that person made the invention or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d), or a nonprofit organization under 37 CFR 1.9(e).

FULL NAME: THE TRUSTEES OF PRINCETON UNIVERSITY
ADDRESS: PO Box 36, Princeton, New Jersey 08544
A University or other institution of higher education
located in any country.

The Trustees of Princeton University have submitted a separate verified declaration claiming small entity status under 37 CFR 1.9(c-f) and 1.27(b-d).

FULL NAME: UNITED STATES OF AMERICA, as represented by DARPA
ADDRESS: Washington, D.C.

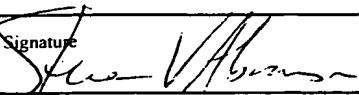
While Federal Government agencies do not qualify as nonprofit organizations for paying reduced fees, the license to the United States of America, as represented by DARPA resulted from a funding agreement with DARPA pursuant to 35 U.S.C. 202(c)(4) and so, pursuant to MPEP 509.02, this does not preclude the proper claiming of small entity status.

1. ACKNOWLEDGMENT OF DUTY TO NOTIFY PTO OF STATUS CHANGE

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b)).

2. DECLARATION

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further than these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment or both, under 18 U.S.C. 1001, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed..

Signer's Name Steven V. Abramson	Signature 	Date
Signer's Title: President and Chief Operating Officer		
Signer's Address: Three Bala Plaza, Suite 104E, Bala Cynwyd, PA 19004		